



Cyprus
University of
Technology

EEN442 - Power Systems II (Συστήματα Ισχύος II)

Part 4: Unbalanced operation

<https://sps.cut.ac.cy/courses/een442/>

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After this part of the lecture and additional reading, you should be able to . . .

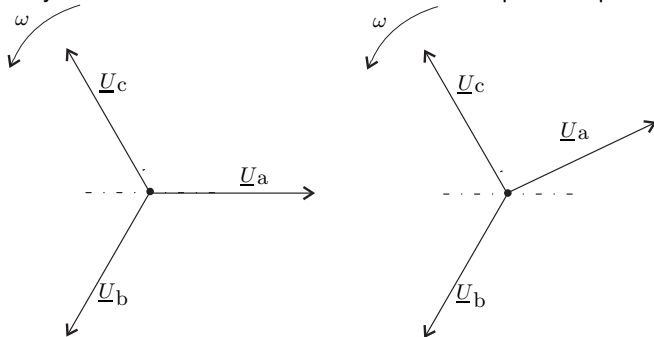
- 1 . . . explain the use of symmetrical components to describe the unbalanced operation of three-phase power systems in steady-state;
- 2 . . . perform simple computations and system analysis with symmetrical components;
- 3 . . . explain the power balance in ABC and symmetrical components.

- 1 **Symmetrical components**
- 2 **Powers in Symmetrical Component System**
- 3 **120 Equivalent Circuits**
- 4 **Symmetrical component models**

Why would we have unbalanced operation?

- Unbalanced loads;
- unbalanced line parameters (e.g., untransposed and therefore, asymmetrical lines);
- unbalanced transformer parameters;
- ground faults or short circuits;

Why don't we use the same methods as in the previous parts of this course?

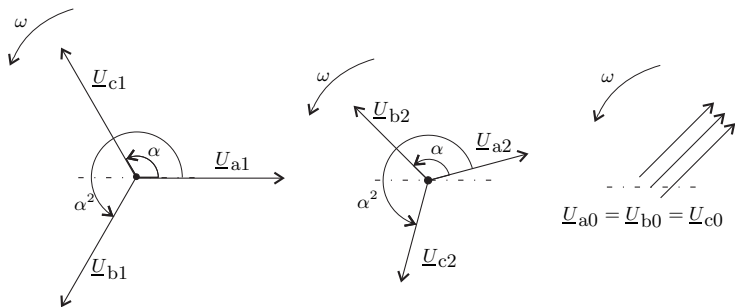


- 1 Symmetrical components**
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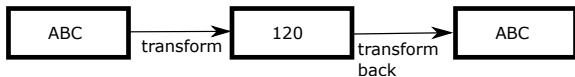
A set of three unbalanced phasors can be decomposed into the sum of:

- three phasors making up a positive (or direct) sequence
- three phasors making up an negative sequence
- three phasors making up a zero sequence

Thus, we end up with three 3-phase systems but each one of them is balanced (thus, easy to analyze). These are called the **symmetrical components**.



- **Positive sequence:** three rotating vectors, of same magnitude, shifted by 120° which an observer sees passing in the order a,b,c,a,b,c
- **Negative sequence:** three rotating vectors, of same magnitude, shifted by 120° which an observer sees passing in the order a,c,b,a,c,b
- **Zero sequence:** three rotating vectors, of same magnitude and in phase



- 1 Transform ABC system to symmetrical components (this step is sometimes called “symmetrization”).
- 2 Carry out all computations in that framework
- 3 Transform back to ABC to get actual currents and voltages (this step is sometimes called de-symmetrization).

Simplifications can be attributed to the fact that the symmetrical components are the eigenvectors of the admittance matrix

Projecting the ABC components to the 120 gives:

$$\underline{U}_a = \underline{U}_{a1} + \underline{U}_{a2} + \underline{U}_{a0} = \underline{U}_1 + \underline{U}_2 + \underline{U}_0$$

$$\underline{U}_b = \underline{U}_{b1} + \underline{U}_{b2} + \underline{U}_{b0} = \alpha^2 \underline{U}_1 + \alpha \underline{U}_2 + \underline{U}_0$$

$$\underline{U}_c = \underline{U}_{c1} + \underline{U}_{c2} + \underline{U}_{c0} = \alpha \underline{U}_1 + \alpha^2 \underline{U}_2 + \underline{U}_0$$

In matrix form:

$$\underbrace{\begin{pmatrix} \underline{U}_a \\ \underline{U}_b \\ \underline{U}_c \end{pmatrix}}_{\underline{U}_{abc}} = \underbrace{\begin{pmatrix} 1 & 1 & 1 \\ \alpha^2 & \alpha & 1 \\ \alpha & \alpha^2 & 1 \end{pmatrix}}_{\mathbf{I}} \underbrace{\begin{pmatrix} \underline{U}_1 \\ \underline{U}_2 \\ \underline{U}_0 \end{pmatrix}}_{\underline{U}_{120}}$$

To get back to the ABC framework, we use the transformation matrix $\underline{\mathbf{S}}$, which is calculated as the inverse of $\underline{\mathbf{T}}$. The definition of the eigenvectors, having elements of magnitude 1, results in the fact that all elements of the matrix $\underline{\mathbf{S}}$ have a magnitude of $1/3$.

$$\underline{\mathbf{T}} = \begin{pmatrix} 1 & 1 & 1 \\ \alpha^2 & \alpha & 1 \\ \alpha & \alpha^2 & 1 \end{pmatrix} \quad \underline{\mathbf{S}} = \underline{\mathbf{T}}^{-1} = \frac{1}{3} \cdot \begin{pmatrix} 1 & \alpha & \alpha^2 \\ 1 & \alpha^2 & \alpha \\ 1 & 1 & 1 \end{pmatrix}$$

where $\alpha = e^{j \cdot 120^\circ} = \frac{-1+j \cdot \sqrt{3}}{2}$ and $\alpha^2 = e^{j \cdot 240^\circ} = \frac{-1-j \cdot \sqrt{3}}{2}$.

It can be easily shown that $|\alpha| = 1$ and $1 + \alpha + \alpha^2 = 0$

The inverse in matrix form is thus:

$$\underbrace{\begin{pmatrix} \underline{U}_1 \\ \underline{U}_2 \\ \underline{U}_0 \end{pmatrix}}_{\underline{U}_{120}} = \frac{1}{3} \underbrace{\begin{pmatrix} 1 & \alpha & \alpha^2 \\ 1 & \alpha^2 & \alpha \\ 1 & 1 & 1 \end{pmatrix}}_{\underline{S}} \underbrace{\begin{pmatrix} \underline{U}_a \\ \underline{U}_b \\ \underline{U}_c \end{pmatrix}}_{\underline{U}_{abc}}$$

Which gives:

$$\begin{aligned} \underline{U}_1 &= \frac{1}{3} (\underline{U}_a + \alpha \underline{U}_b + \alpha^2 \underline{U}_c) \\ \underline{U}_2 &= \frac{1}{3} (\underline{U}_a + \alpha^2 \underline{U}_b + \alpha \underline{U}_c) \\ \underline{U}_0 &= \frac{1}{3} (\underline{U}_a + \underline{U}_b + \underline{U}_c) \end{aligned}$$

Note that in a balanced system, we have:

$$\begin{pmatrix} \underline{U}_1 \\ \underline{U}_2 \\ \underline{U}_0 \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 1 & \alpha & \alpha^2 \\ 1 & \alpha^2 & \alpha \\ 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} \underline{U}_a \\ \alpha^2 \underline{U}_a \\ \alpha \underline{U}_a \end{pmatrix} = \begin{pmatrix} \underline{U}_a \\ 0 \\ 0 \end{pmatrix}$$

Assume a 3-phase voltage source feeding a 3-phase Y-connected load:

$$\begin{pmatrix} \underline{U}_a \\ \underline{U}_b \\ \underline{U}_c \end{pmatrix} = \begin{pmatrix} \underline{Z}_a & 0 & 0 \\ 0 & \underline{Z}_b & 0 \\ 0 & 0 & \underline{Z}_c \end{pmatrix} \begin{pmatrix} \underline{I}_a \\ \underline{I}_b \\ \underline{I}_c \end{pmatrix}$$

Converting to 120 sequence:

$$\underline{\mathbf{S}} \begin{pmatrix} \underline{U}_1 \\ \underline{U}_2 \\ \underline{U}_0 \end{pmatrix} = \begin{pmatrix} \underline{Z}_a & 0 & 0 \\ 0 & \underline{Z}_b & 0 \\ 0 & 0 & \underline{Z}_c \end{pmatrix} \underline{\mathbf{S}} \begin{pmatrix} \underline{I}_1 \\ \underline{I}_2 \\ \underline{I}_0 \end{pmatrix} \Leftrightarrow \begin{pmatrix} \underline{U}_1 \\ \underline{U}_2 \\ \underline{U}_0 \end{pmatrix} = \underbrace{\underline{\mathbf{T}} \begin{pmatrix} \underline{Z}_a & 0 & 0 \\ 0 & \underline{Z}_b & 0 \\ 0 & 0 & \underline{Z}_c \end{pmatrix} \underline{\mathbf{S}}}_{\underline{\mathbf{Z}}_{120}} \begin{pmatrix} \underline{I}_1 \\ \underline{I}_2 \\ \underline{I}_0 \end{pmatrix}$$

Assume a 3-phase voltage source feeding a 3-phase Y-connected load:

$$\begin{pmatrix} \underline{Z}_1 \\ \underline{Z}_2 \\ \underline{Z}_0 \end{pmatrix} = \frac{1}{3} \begin{pmatrix} \underline{Z}_a + \underline{Z}_b + \underline{Z}_c & \underline{Z}_a + \alpha^2 \underline{Z}_b + \alpha \underline{Z}_c & \underline{Z}_a + \alpha \underline{Z}_b + \alpha^2 \underline{Z}_c \\ \underline{Z}_a + \alpha \underline{Z}_b + \alpha^2 \underline{Z}_c & \underline{Z}_a + \underline{Z}_b + \underline{Z}_c & \underline{Z}_a + \alpha^2 \underline{Z}_b + \alpha \underline{Z}_c \\ \underline{Z}_a + \alpha^2 \underline{Z}_b + \alpha \underline{Z}_c & \underline{Z}_a + \alpha \underline{Z}_b + \alpha^2 \underline{Z}_c & \underline{Z}_a + \underline{Z}_b + \underline{Z}_c \end{pmatrix}$$

If the impedances are the same ($\underline{Z}_a = \underline{Z}_b = \underline{Z}_c$):

$$\begin{pmatrix} \underline{Z}_1 \\ \underline{Z}_2 \\ \underline{Z}_0 \end{pmatrix} = \begin{pmatrix} \underline{Z}_a \\ \underline{Z}_b \\ \underline{Z}_c \end{pmatrix}$$

- It should be noted that the admittance matrices in the ABC and 120 systems incorporate the same information, but are not equal.
- No zero-sequence components exist if the sum of the unbalanced phasors is zero.
- Zero sequence components are never present in the **line voltages** regardless of the degree of unbalance. Can you explain this?

ABC

120

$$\begin{array}{l}
 \underline{I}_{ABC} = \underline{T} \underline{I}_{120} \\
 \underline{U}_{ABC} = \underline{T} \underline{U}_{120} \\
 \underline{E}_{ABC} = \underline{T} \underline{E}_{120} \\
 \underline{Z}_{ABC} = \underline{T} \underline{Z}_{120} \underline{S} \\
 \underline{Y}_{ABC} = \underline{T} \underline{Y}_{120} \underline{S} \\
 \\
 \underline{I}_{ABC} = \underline{Y}_{ABC} \underline{E}_{ABC}
 \end{array}
 \qquad
 \begin{array}{l}
 \underline{I}_{120} = \underline{S} \underline{I}_{ABC} \\
 \underline{U}_{120} = \underline{S} \underline{U}_{ABC} \\
 \underline{E}_{120} = \underline{S} \underline{E}_{ABC} \\
 \underline{Z}_{120} = \underline{S} \underline{Z}_{ABC} \underline{T} \\
 \underline{Y}_{120} = \underline{S} \underline{Y}_{ABC} \underline{T} \\
 \\
 \underline{I}_{120} = \underline{Y}_{120} \underline{E}_{120}
 \end{array}$$

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In ABC the three-phase apparent power is:

$$\underline{S}_{3\varphi} = \underline{U}_a I_a^* + \underline{U}_b I_b^* + \underline{U}_c I_c^* = \underline{\mathbf{U}} \underline{\mathbf{I}}^*$$

Considering:

$$\begin{aligned}\underline{\mathbf{U}} &= \underline{\mathbf{T}} \underline{\mathbf{U}}_{120} \\ \underline{\mathbf{I}} &= \underline{\mathbf{T}} \underline{\mathbf{I}}_{120}\end{aligned}$$

We get:

$$\begin{aligned}\underline{S}_{3\varphi} &= (\underline{\mathbf{T}} \underline{\mathbf{U}}_{120})^T \cdot (\underline{\mathbf{T}} \underline{\mathbf{I}}_{120})^* = \\ &= (\underline{\mathbf{U}}_{120})^T \cdot \underbrace{\underline{\mathbf{T}}^T (\underline{\mathbf{T}})^*}_{3 \mathbf{I}_d} \cdot (\underline{\mathbf{I}}_{120})^*\end{aligned}$$

We can simplify this equation by calculating the middle part as:

$$\underline{\mathbf{T}}^T (\underline{\mathbf{T}})^* = \left(((\underline{\mathbf{T}})^*)^T \underline{\mathbf{T}} \right)^T = 3 \left(\underline{\mathbf{T}}^{-1} \underline{\mathbf{T}} \right)^T = 3 \mathbf{I}_d$$

where \mathbf{I}_d represents the identity matrix.

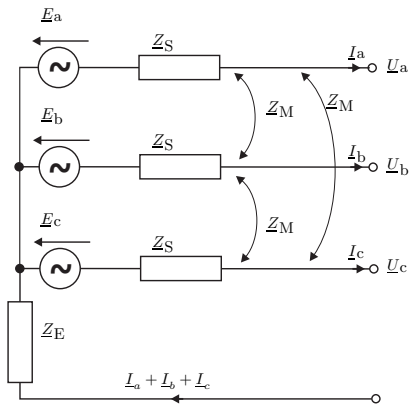
Leading to:

$$\begin{aligned}\underline{S}_{3\varphi} &= 3 \cdot (\underline{\mathbf{U}}_{120})^T \cdot (\underline{\mathbf{I}}_{120})^* = \\ &= 3 \cdot (\underline{U}_1 I_1^* + \underline{U}_2 I_2^* + \underline{U}_0 I_0^*)\end{aligned}$$

- A factor of 3 arises between the expressions of the powers in the ABC and symmetrical component systems.
- Each element of the ABC system carries triple the power of its equivalent in the symmetrical component system

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Let's consider the following 3ϕ system:



where \underline{Z}_S is the line impedance, \underline{Z}_M is the mutual impedance between lines and \underline{Z}_E in the neutral impedance.

Using KCL and KVL, we can write the equations of the system for phase a :

$$\underline{U}_a = -(I_a + I_b + I_c)\underline{Z}_E + \underline{E}_a - \underline{Z}_S I_a - \underline{Z}_M I_b - \underline{Z}_M I_c$$

Or, in matrix form for the entire system:

$$\begin{pmatrix} \underline{E}_a \\ \underline{E}_b \\ \underline{E}_c \end{pmatrix} = \begin{pmatrix} \underline{Z}_S + \underline{Z}_E & \underline{Z}_M + \underline{Z}_E & \underline{Z}_M + \underline{Z}_E \\ \underline{Z}_M + \underline{Z}_E & \underline{Z}_S + \underline{Z}_E & \underline{Z}_M + \underline{Z}_E \\ \underline{Z}_M + \underline{Z}_E & \underline{Z}_M + \underline{Z}_E & \underline{Z}_S + \underline{Z}_E \end{pmatrix} \cdot \begin{pmatrix} I_a \\ I_b \\ I_c \end{pmatrix} + \begin{pmatrix} \underline{U}_a \\ \underline{U}_b \\ \underline{U}_c \end{pmatrix}$$

In a balanced, properly transposed system, we can simplify:

$$\begin{aligned}
 \underline{U}_a &= -(I_a + I_b + I_c)\underline{Z}_E + \underline{E}_a - \underline{Z}_S I_a - \underline{Z}_M(I_b + I_c) \\
 &= \cancel{-(I_a + I_b + I_c)\underline{Z}_E} + \underline{E}_a - \underline{Z}_S I_a - \cancel{\underline{Z}_M(I_b + I_c)} \xrightarrow{-I_a} \\
 &= \underline{E}_a - I_a(\underline{Z}_S - \underline{Z}_M) \\
 &= \underline{E}_a - I_a \underline{Z}_L
 \end{aligned}$$

Leading to:

$$\begin{pmatrix} \underline{E}_a \\ \underline{E}_b \\ \underline{E}_c \end{pmatrix} = \begin{pmatrix} \underline{Z}_L & 0 & 0 \\ 0 & \underline{Z}_L & 0 \\ 0 & 0 & \underline{Z}_L \end{pmatrix} \cdot \begin{pmatrix} I_a \\ I_b \\ I_c \end{pmatrix} + \begin{pmatrix} \underline{U}_a \\ \underline{U}_b \\ \underline{U}_c \end{pmatrix}$$


where $\underline{Z}_L = \underline{Z}_S - \underline{Z}_M$.

Thus, we are able to analyze the system per-phase.

In an unbalanced system, the above approach does not work (why?). If we use the symmetrical components transformation (see slide 17) we can get:

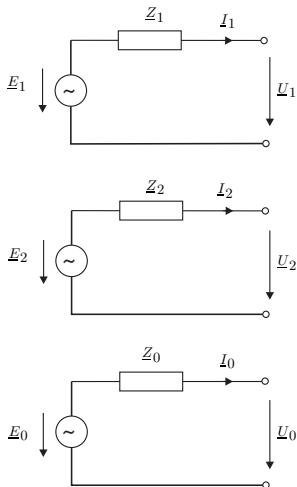
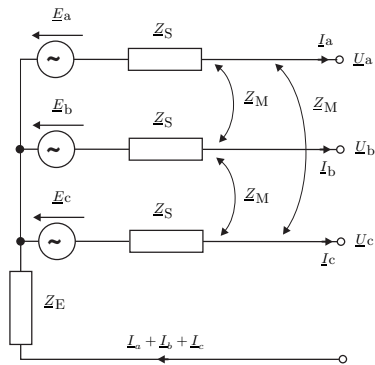
$$\begin{pmatrix} \underline{E}_1 \\ \underline{E}_2 \\ \underline{E}_0 \end{pmatrix} = \begin{pmatrix} \underline{Z}_1 & 0 & 0 \\ 0 & \underline{Z}_2 & 0 \\ 0 & 0 & \underline{Z}_0 \end{pmatrix} \cdot \begin{pmatrix} \underline{I}_1 \\ \underline{I}_2 \\ \underline{I}_0 \end{pmatrix} + \begin{pmatrix} \underline{U}_1 \\ \underline{U}_2 \\ \underline{U}_0 \end{pmatrix} \quad (3.1)$$

where $\underline{Z}_1 = \underline{Z}_2 = \underline{Z}_S - \underline{Z}_M$ and $\underline{Z}_0 = \underline{Z}_S + 2\underline{Z}_M + 3\underline{Z}_E$.

 Even though the ABC system equations in an unbalanced system are not decoupled, the 120 equivalent equations are decoupled and we can analyze them per-phase.

3 Equivalent circuits

Plotting the equivalent 120 circuits, gives:

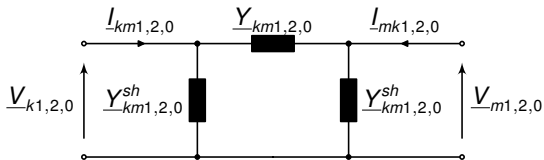


- Corresponds to the single-phase equivalent circuit of the balanced three-phase system. The generator voltage feeds the circuit in the positive sequence system. This voltage is equal to the voltage \underline{E}_a of a symmetrically-operated generator.
- The impedances of the passive elements of the positive sequence system are included in the impedance \underline{Z}_1 . It should be noted that \underline{Z}_1 is independent of the neutral-to-ground impedance \underline{Z}_E .
- The grounding of the neutrals is irrelevant since the sum of the currents is zero. Delta-connected elements must be transformed into wye connections. In the symmetric, three-phase circuit, all neutral points have the same potential; it does not matter whether or not they are connected. Thus, all neutral points of the equivalent, positive sequence circuit can be thought of as connected.

- It is derived in a manner analogous to that of the positive sequence system. However, the voltage source component of the generator voltage is zero so that no supply voltage normally exists in the circuit.
- In the passive part of the network, the impedance \underline{Z}_2 is equal to \underline{Z}_1 . This is due to the fact that the neutral point grounding has no effect on the negative sequence system (therefore, we can set $\underline{Z}_2 = \underline{Z}_1$).
- In the equivalent circuit of the negative sequence system, delta-wye transformations must be performed and all neutral points must be connected to one another.

- It is fed by the zero sequence component of the generator voltage, which is zero for symmetrical generators.
- The zero sequence impedance Z_0 of the passive elements must be included. This impedance generally differs from the positive and negative sequence system impedances.
- The treatment of the neutral points is very important in the zero sequence system. As mentioned previously, zero sequence currents can **only** flow through neutral point connections. The connections in the zero sequence diagram correspond to the grounding conditions in the real physical system.
- Impedances at the neutral point connections must be included with **triple the value of the physical impedance**. The threefold value is necessary because triple the real zero sequence current actually flows through the neutral ground connection.

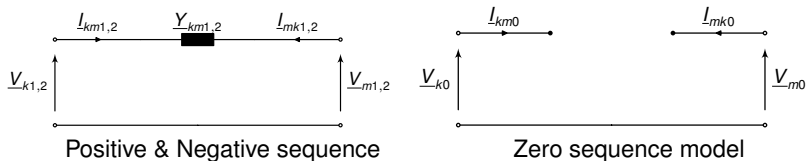
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Positive, Negative, and Zero sequence model

$$\begin{bmatrix} \underline{I}_{km1,2,0} \\ \underline{I}_{mk1,2,0} \end{bmatrix} = \begin{bmatrix} \underline{Y}_{km1,2,0} + \underline{Y}_{km1,2,0}^{sh} & -\underline{Y}_{km1,2,0} \\ -\underline{Y}_{km1,2,0} & \underline{Y}_{km1,2,0} + \underline{Y}_{km1,2,0}^{sh} \end{bmatrix} \begin{bmatrix} \underline{V}_{k1,2,0} \\ \underline{V}_{m1,2,0} \end{bmatrix}$$

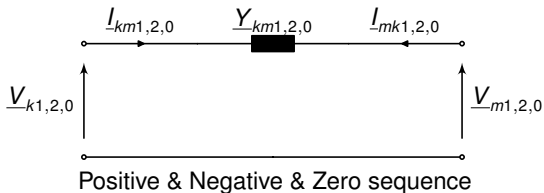
If the model is of type Yy, YNy, Yd, Dd:



$$\begin{bmatrix} \underline{I}_{km1,2} \\ \underline{I}_{mk1,2} \end{bmatrix} = \begin{bmatrix} \underline{Y}_{km1,2} & -\underline{Y}_{km1,2} \\ -\underline{Y}_{km1,2} & \underline{Y}_{km1,2} \end{bmatrix} \begin{bmatrix} \underline{V}_{k1,2} \\ \underline{V}_{m1,2} \end{bmatrix}$$

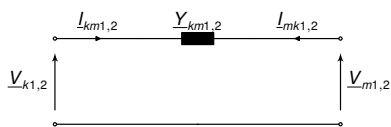
$$\begin{bmatrix} \underline{I}_{km0} \\ \underline{I}_{mk0} \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} \underline{V}_{k0} \\ \underline{V}_{m0} \end{bmatrix}$$

If the model is of type YNyn:

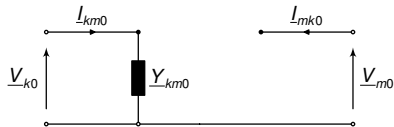


$$\begin{bmatrix} I_{km1,2,0} \\ I_{mk1,2,0} \end{bmatrix} = \begin{bmatrix} Y_{km1,2,0} & -Y_{km1,2,0} \\ -Y_{km1,2,0} & Y_{km1,2,0} \end{bmatrix} \begin{bmatrix} V_{k1,2,0} \\ V_{m1,2,0} \end{bmatrix}$$

If the model is of type YNd:



Positive & Negative sequence

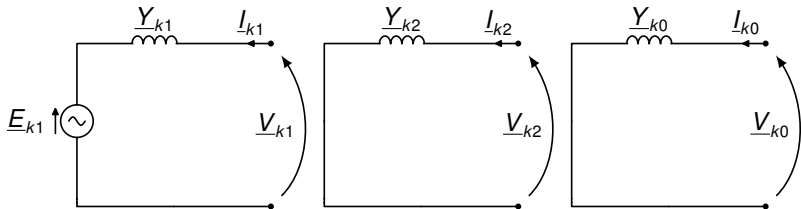


Zero sequence model

$$\begin{bmatrix} I_{km1,2} \\ I_{mk1,2} \end{bmatrix} = \begin{bmatrix} Y_{km1,2} & -Y_{km1,2} \\ -Y_{km1,2} & Y_{km1,2} \end{bmatrix} \begin{bmatrix} V_{k1,2} \\ V_{m1,2} \end{bmatrix}$$

$$\begin{bmatrix} I_{km0} \\ I_{mk0} \end{bmatrix} = \begin{bmatrix} Y_{km0} & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} V_{k0} \\ V_{m0} \end{bmatrix}$$

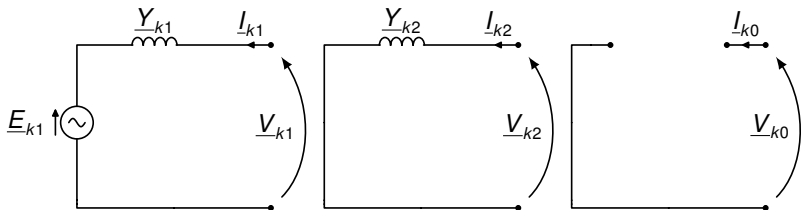
If the model is of type YN:



We use the load assumption for uniformity and the Norton equivalent:

$$\begin{aligned} I_{k1} &= Y_{k1} V_{k1} - Y_{k1} E_{k1} \\ I_{k2,0} &= Y_{k2,0} V_{k2,0} \end{aligned}$$

If the model is of type Y or D:



We use the load assumption for uniformity and the Norton equivalent:

$$\begin{aligned} I_{k1} &= Y_{k1} V_{k1} - Y_{k1} E_{k1} \\ I_{k2} &= Y_{k2} V_{k2} \\ I_{k0} &= 0 \end{aligned}$$